

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) &amp; (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

PATENT  
APPLICATION

JC891 U.S. PTO



01/11/01

**RULE 53(f) NO DECLARATION**Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt.

PM 273739

M#

D807?

Client Ref

Date:

January 11, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

RADIATION-CURABLE OPTICAL GLASS FIBER COATING COMPOSITIONS,  
COATED OPTICAL GLASS FIBERS, AND OPTICAL GLASS FIBER ASSEMBLIES  
without a filing fee or Oath/Declaration but for which is enclosed the following:3. ☒ Abstract 1 page(s).4. 141 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 72 Numbered claim(s); and

7. ☒ Drawings: 11 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 08/745,790	November 8, 1996	(2) 08/840,893	April 17, 1997
(3) 08/877,585	June 17, 1997	(4) 09/035,771	March 6, 1998
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_12. ☐ This is a reissue of Patent No. \_\_\_\_\_13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☒ Amend the specification by inserting before the first line -- This is a ☐ Continuation-in-Part  
☐ Divisional ☒ Continuation ☐ Substitute Application (MPEP 201.09) of:14(a) ☒ National Appln. No. 09/035,771 filed March 6, 1998. - (M#238045)14(b) ☐ International Appln. No. PCT/\_\_\_\_\_ filed \_\_\_\_\_ which  
designated the U.S., and that International Application ☐ was ☐ was not published  
under PCT Article 21(2) in English.--15. ☐ Amend the specification by inserting before the first line: --This application  
claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_.16. Extension to date: ☐ concurrently filed ☒ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not  
essential to make claim)17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

18. ☒ Prior application is assigned to DSM N.V.

by Assignment recorded September 15, 1998 Reel 9464 Frame 0868

19. ☒ Attached: Preliminary Amendment

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	David	M.	SZUM
	First	Middle Initial	Family Name
Residence	Elmhurst	IL	USA
	City	State/Foreign Country	Country of Citizenship
Mailing Address	646 North Oaklawn, Elmhurst, IL		
(include Zip Code)	60126		

(2) Inventor	Chander	P.	CHAWLA
	First	Middle Initial	Family Name
Residence	Batavia	IL	USA
	City	State/Foreign Country	Country of Citizenship
Mailing Address	1300 North Avenue, Batavia, IL		
(include Zip Code)	60510		

(3) Inventor	James	R.	PETISCE
	First	Middle Initial	Family Name
Residence	Jacksonville	FL	USA
	City	State/Foreign Country	Country of Citizenship
Mailing Address	10228 East Vineyard Lake Road, Jacksonville, FL		
(include Zip Code)	32256		

(4) Inventor	John	T.	VANDEBERG
	First	Middle Initial	Family Name
Residence	Barrington	IL	USA
	City	State/Foreign Country	Country of Citizenship
Mailing Address	415 West Oakwood Drive, Barrington, IL		
(include Zip Code)	60010		

(5) Inventor	George		PASTERNAK
	First	Middle Initial	Family Name
Residence	Riverwoods	IL	USA
	City	State/Foreign Country	Country of Citizenship
Mailing Address	656 Masters Lane, Riverwoods, IL		
(include Zip Code)	60015		

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☒  
and attach sheet with same information regarding additional inventors.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1100 New York Avenue, NW.  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: PLS/dam

By: Atty: Paul L. Sharer

Reg. No. 36004

Sig: 

Fax: (202) 822-0944  
Tel: (202) 861-3649

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

**REQUEST FOR FILING APPLICATION**  
**Under Rule 53(a), (b)(1) & (d)(1)**  
**(Continued : Additional Inventors)**

(6) Inventor	Timothy	E.	<b>BISHOP</b>
	First	Middle Initial	Family Name
Residence	<b>Algonquin</b>	<b>IL</b>	<b>USA</b>
	City	State/Foreign Country	Country of Citizenship
Mailing Address	1720 Riverwood Drive, Algonquin, IL		
(include Zip Code)	60120		

(7) Inventor	Paul	E.	<b>SNOWWHITE</b>
	First	Middle Initial	Family Name
Residence	<b>Muskego</b>	<b>WI</b>	<b>USA</b>
	City	State/Foreign Country	Country of Citizenship
Mailing Address	W192 S7216 Hillside Drive, Muskego, WI		
(include Zip Code)	53150		

(8) Inventor	Edward	P.	<b>ZAHORA</b>
	First	Middle Initial	Family Name
Residence	<b>Naperville</b>	<b>IL</b>	<b>USA</b>
	City	State/Foreign Country	Country of Citizenship
Mailing Address	11S601 Walter Lane, Naperville, IL		
(include Zip Code)	60564		

(9) Inventor	Stephen	C.	<b>LAPIN</b>
	First	Middle Initial	Family Name
Residence	<b>Waterford</b>	<b>WI</b>	<b>USA</b>
	City	State/Foreign Country	Country of Citizenship
Mailing Address	7126 Joy Marie Lane, Waterford, WI		
(include Zip Code)	53185		

(10) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(11) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(12) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			